

STEPHEN C. SMITH #58,250

Name of applicant, assignee or Registered Representative

Date of Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kam Lung Chui ET AL.

Appln. No.: 10/665,544

Filed: September 19, 2003

For: Vacuum Cleaner

Attorney Docket No: 11136-198

Examiner: Theresa T. Snider

Art Unit: 1744

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

| DOCUMENT NUMBER Number-kind Code (if known) | DATE   | NAME              |
|---|--------|-------------------|
| US 3,605,786                                | 9/1971 | Machin, Jr.       |
| US 4,041,569                                | 9/1977 | Petersen et al.   |
| US 4,246,676                                | 1/1981 | Hallsworth et al. |
| US 6,347,430                                | 2/2002 | Buss et al.       |

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BRINKS

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary. 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicants have enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

FORM PTO-1449

SERIAL NO.

10/655,544

11136-198

LIST OF PATENTS AND PUBLICATION FROM FILING DATE
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(use several sheets if necessary)

APPLICANT(S): Kam Lung Chui et al.

### REFERENCE DESIGNATION

### **U.S. PATENT DOCUMENTS**

|   |                     |     |   | PATENT DO | CONTENTS          | 0.400:             |                |
|---|---------------------|-----|---|-----------|-------------------|--------------------|----------------|
|   | EXAMINER<br>INITIAL |     | DOCUMENT NUMBER Number-Kind Code (if known) | DATE      | NAME              | CLASS/<br>SUBCLASS | FILING<br>DATE |
|   |                     | A1  | US 3,605,786                                | 9/1971    | Machin, Jr.       |                    |                |
| ı |                     | A2  | US 4,041,569                                | 9/1977    | Petersen et al.   |                    |                |
|   | · · -               | A3  | US 4,246,676                                | 1/1981    | Hallsworth et al. |                    |                |
| ı | ,                   | A4  | US 6,347,430                                | 2/2002    | Buss et al.       |                    |                |
| ı |                     | A5  |   |           |                   |                    |                |
| I |                     | A6  |   |           |                   |                    |                |
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#### **FOREIGN PATENT DOCUMENTS**

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|---------------------------|-----|---|------|---------|--------------------|--------------------------|
| EXAMINER<br>INITIAL       |     | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|                           | A14 |   |      |         |                    |                          |
|                           | A15 |   |      |         |                    |                          |
|                           | A16 |   |      |         |                    |                          |
|                           | A17 |   |      |         |                    |                          |
|                           | A18 |   |      |         |                    |                          |

| EXAMINER<br>INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |
|---------------------|---|--|--|--|--|
|                     | A19   |  |  |  |  |
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|                     | A21   |  |  |  |  |
|                     | A22   |  |  |  |  |
| _                   | A23   |  |  |  |  |
|                     | A24   |  |  |  |  |

| EXAMINER | DATE CONSIDERED |  |
|----------|-----------------|--|
|          |                 |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.